RECEIVED OENTRAL FAX CENTER

JUL 28 2004

NORTH AMERICA INTERNATIONAL PATENT OFFICE

P.O. BOX 506, Merrifield, VA 22116, U.S.A. TEL:+886-2-8923-7350FAX:+886-2-2929-5950e-mail:winstonhsu@naipo.com.tw

OFFICIAL

FAX TO: ART UNIT: 2814

Tel: (571) 272-2800 Fax: (703) 872-9306

FROM: Winston Hsu, PATENT AGENT, REG. NO.: 41,526

SERIAL NO.: 10/605,539

ATTORNEY DOCKET NO.: UECP0001USA

SUBJECT: INFORMATION DISCLOSURE STATEMENT

TOTAL PAGES: 6 PAGES (INCLUDING COVER PAGE)

Winston Hsu 2004/07/28

UECP0001USA0_D1_1

Approved to U.S. Patient and Trademark C Under the Paperwork Reduction Act of 1995, no parsons are required to respond to a collection of information	or use through 10/31/2002. OMB 0651-0031 Office; U.S. DEPARTMENT OF COMMERCE Unless It contains a valid OMB control number.
·	
Certificate of Transmission under 37 (CFR 1.8
	<u>-</u>
I hereby certify that this correspondence is being facsimile to	ransmitted to the
United States Patent and Trademark Office	
on07/28/2004	
Date	•
•	
Short lee	·
Signature	
	•
Sheryl Lee Typed or printed name of person signing Certif	ficate
· speak of prince frame of person signing octus	
·	
 Note: Each paper must have its own certificate of transmission, or this 	certificate must identify
each submitted paper.	
APPLICATION NUMBER: 10/605,539	
PAPERS INCLUDED: (1) Transmittal Form	1 PAGE
(1) Hansimaa Form	IFAGE
(2) Fee Transmittal	1 PAGE
(3) Information Disclosure Statement	2 PAGES
(5) momation disclosure Statement	& FAGES
	·

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20221. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Petents, Washington, DC 20231.

AECEIVED

JUL 2 8 2004



PTO/SB/21 (08-03)
Approved for use through 08/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Papenwork Reduction Act of 1995, no necessary are required to respond to a collection of Information unless it displays a valid OMB control number. **Application Number** 10/605,539 TRANSMITTAL Filing Date 10/08/2003 FORM First Named Inventor Tzong-Liang Taal Art Unit (to be used for all correspondence after initial filing) 2814 Examiner Name Mai, Anh D **Attorney Docket Number** UECP0001USA Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) / After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Provisional Application Proprietary Information Power of Attorney, Revocation Affidavits/declaration(s) Change of Correspondence Address Status Letter Other Enclosure(s) (please Terminal Disclaimer **Extension of Time Request** Identify below): Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Winston Hsu, Reg. No.: 41,526 individual name Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Date Signature

This collection of information is required by 37 CFR 1.5. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will very depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commence, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/17 (10-03) Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are regulated to respond to a collection of information unless it displays a valid OMB control number. Complete if Known FEE TRANSMITTAL 10/605.539 **Application Number** 10/06/2003 for FY 2004 Filing Date Tzong-Liang Tsai First Named Inventor Effective 10/01/2003. Patent fees are subject to annual revision. Mai, Anh D **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 2814 Art Unit TOTAL AMOUNT OF PAYMENT (\$) 180.00 UECP0001USA Altomey Docket No. METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) Money Check Credit card 3. ADDITIONAL FEES Other None Order Large Entity , Small Entity Deposit Account: Fee Fee Fee Fee Description Deposit Code (\$) Code (\$) 50-3105 Fee Paid Account 1051 2051 130 65 Surcharge - late filing fee or oath Number Deposit 1052 25 Surcharge - late provisional filing fee or North America Intellectual Property Corp. **50** 2052 Account cover sheet Name 1053 130 Non-English specification 130 1053 The Director is authorized to: (check all that apply) 1812 2,520 1812 2,520 For filling a request for ex parte reexamination ✓ Charge fee(s) indicated below Credit any overpayments 920 920" Requesting publication of SIR prior to 1804 1804 ✓ Charge any additional fee(s) or any underpayment of fee(a). Examiner action Charge fee(s) indicated below, except for the filing fee 1805 1,840* 1805 1,840° Requesting publication of SIR after to the above-identified deposit account. Examiner action 1251 55 Extension for reply within first month 110 2251 FEE CALCULATION 1252 420 2252 Extension for reply within aecond month 1. BASIC FILING FEE 1253 950 **2253** 475 Extension for reply within third month Large Entity Small Entity Fee Fee Fee Paid Fee Fee Fee Description 1254 1,480 2254 Extension for reply within fourth month Code (\$) 1255 2,010 2255 1,005 Extension for reply within fifth month 1001 770 2001 385 Utility filing fee 1002 340 2002 170 1401 330 2401 Design filing fee 165 Notice of Appeal 1003 530 2003 265 1402 330 Plant filing fee 2402 165 Filling a brief in support of an appeal 1004 770 2004 385 1403 290 2403 145 Request for oral hearing Reissue filing fee 1451 1,510 Petition to Institute a public use proceeding 1005 160 2005 80 1451 1,510 Provisional filing fee 1452 110 55 Petition.to.revive.- unavoidable... 2452 **SUBTOTAL (1)** (\$) 0.00 1453 1,330 2453 665 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,330 2501 665 Utility issue fee (or releave) Fee from 1502 480 2502 240 Design Issue fee Total Claims 1503 640 2503 320 Plant issue fee Independent Claims 1460 130 1460 130 Petitions to the Commissioner Multiple Dependent 1807 50 50 Processing fee under 37 CFR 1.17(q) 1807 Large Entity | Small Entity 180.00 1806 1808 160 180 Submission of Information Disclosure Stmt Fee Description Fee Fee Fee 40 Recording each petent assignment per Code (\$) Code (\$) 8021 40 8021 property (times number of properties) 1202 Claims in excess of 20 18 2202 1809 385 Filing a submission after final rejection 770 2809 1201 88 2201 43 Independent claims in excess of 3 (37 CFR 1,129(a)) 1203 290 2203 Multiple dependent claim, if not paid 1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) ** Reissue Independent claims 1204 86 2204 over original patent 1801 385 Request for Continued Examination (RCE) 770 2801 ** Reissue claims in excess of 20 1205 2205 1802 900 18 1802 Request for expedited examination and over original patent of a design application (\$) 0.00 Other fee (specify) SUBTOTAL (2) *Reduced by Basic Filing Fee Paid SUBTOTAL (3) 180.00 **or number previously paid, if greater, For Reissues, see above SUBMITTED BY (Complete (Fapplicable)) Registration No. Name (Print/Type) Winston Hsu 41.528 Telephone 886289237350 (Attorney/Acent) Signature

> WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1,27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450,

Date

If you need assistance in completing the form, call 1-800-PTQ-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required number.	PTO/SB/08B (10-01) Approved for use through 10/31/2002. OMB 0651-0031 J.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE and to respond to a collection of information unless it contains a valid OMB

Substitute for form 1449B/PTQ				Complete # Known		
INFORMATION DISCLOSURE		Application Number	10/605,539			
			10/06/2003 Tzong-Liang Tsai			
STATEMENT BY APPLICANT						
	Group Art Unit	2814				
(use as many sheets as necessary)		Examiner Name	Mai, Anh D			
Sheet	1	of	1	Attorney Docket Number	UECP0001USA	

Examiner Ini ti als	Cite No.1	Include name of the guthor (in CAPITAL LETTERS), title of the sirties (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher_city and/or country where published	7			
1		Motokazu, et al.; "InGaN-Based Near-Ultraviolet and Blue-Light Emitting Diodes with High External Quantum Efficiency Using a Patterned Sapphire Substrate and a Mesh Electrode"; Jpn. J Appl. Phys. Vol.41(2002)pp.L1431-L1433 Part 2, No. 12B, 15 December 2002				
	d f V L A o ma d					
•••••••						
		··································				
		·	ļ,			
		\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\				
::::::::::::::::::::::::::::::::::::::						
		***************************************	ļ			
		4./				
<u></u>			Ļ			
Examiner		Date				

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

⁴ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English tenguage Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the Individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Tradement Office, Washington, DC 20231, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.